

"Regulation on research contracts, consultancy, transfer of research results, teaching and research contributions"

(Issued with D.D. nr. 89 of 04/02/2026)¹

INDEX

- Art. 1 – Purpose and scope of application
- Art. 2 – Approval of Activities
- Art. 3 – Person in Charge of the Activities
- Art. 4 – Personnel involved
- Art. 5 – Determination of remuneration and profit
- Art. 6 – Research contributions
- Art. 7 – Results and Intellectual Property
- Art. 8 – Payment of compensation

Art. 1 – Purpose and scope of application

1.1 This Regulation governs activities of a commercial nature carried out by SISSA – acting as a VAT-registered entity – in the prevailing interest of public and private entities, as an economic operator and in return for appropriate remuneration, in compliance with its primary scientific and teaching functions. In particular, the following are considered third-party activities (hereinafter referred to as “Activities” or “Services”):

¹ This document is an English translation of the Italian version of DD nr. 89 dated 04/02/2026, which is to be considered the official.

- a. pure or applied research services, understood as activities with a predominantly innovative purpose in the scientific or technical field, in terms of method or substance;
- b. consultancy services, understood as activities involving the guidance of research or projects underway at the client's premises, suggestions, opinions and, in general, technical and scientific support requiring limited use of the School's resources;
- c. non-institutional teaching services, understood as professional qualification and refresher courses and seminars, also carried out in collaboration with third parties, public or private, provided that, as a rule, scientific/teaching supervision is ensured by members of the School's teaching staff.

1.2 The scope of application of this Regulation includes Services for which the client expressly requests from the School a professional contribution of specific internal personnel, such as teaching staff referred to in Art. 2, paragraph 11 of the SISSA Statute, or technical and administrative staff, without prejudice to the existing regulations set out in the Regulation on assignments and external activities for SISSA academic staff regarding freely exercisable activities and activities subject to authorisation.

1.3 The granting and use of the School's premises or equipment in favour of third parties, as well as sponsorship contracts, governed by specific provisions, are excluded from this Regulation.

Art. 2 – Approval of Activities

2.1 The Activities referred to in Art. 1 must be governed by specific agreements – having defined objectives and a limited duration – drafted and approved in accordance with this Regulation and must contain all essential clauses governing relations with the contracting party.

2.2 The authority to approve proposals for Activities and the agreements referred to in the preceding paragraph lies with the relevant Area Council (or the Interdisciplinary Laboratory of Natural and Human Sciences – ILAS, which is considered equivalent to the Areas for the purposes of this Regulation), which shall then be submitted for review by the Valorisation Commission (hereinafter also referred to as the “Commission”). Following a favourable opinion by the Commission, the agreement may be formalised by means of a contract or exchange of letters signed by the Director or a delegated representative. In the event of a dispute between the Commission and the relevant Area, the Board of Directors shall decide.

2.3 The proposals referred to in the preceding paragraph must include:

- a. the essential elements of the contract already negotiated, including the subject matter of the Service, identification of the internal SISSA person in charge referred to in Art. 3 below, duration and remuneration requested from the client. Where the Service provides for the payment of penalties by the School, such penalties shall be accepted only if their amount is defined. The Area or the Person in Charge of the Service must declare their willingness to pay any penalties from their own funds, making the corresponding budget commitment;
- b. a scheme showing the expected profit from the implementation of the Activities pursuant to Art. 5 below.

Art. 3 – Person in Charge of the Activities

3.1 The Person in Charge of the Activities:

- a. defines the Activity to be carried out together with the client and oversees the negotiation and contract definition phase;
- b. submits the proposal to the competent decision-making body pursuant to Art. 2;
- c. maintains relations with the client within a framework of overall commercial responsibility, ensuring the quality and effectiveness of the services provided;
- d. defines and organises the working group;
- e. manages the Activities in their entirety and complexity and ensures the proper fulfilment of the contractual obligations;
- f. acts as the contact person for the various implementation phases;
- g. requests the liquidation of profits pursuant to Art. 5 below.

3.2 For all Activities referred to in the preceding paragraph, the Person in Charge of the Activities is continuously supported by the SISSA Valorisation and Innovation Office, which, with a view to strengthening the Third Mission of the Academy and in synergy and coordination with the School's teaching staff and researchers, shall also endeavour to promote and increase such Activities and the resulting technological and innovative impact in favour of the surrounding productive and social environment.

Art. 4 – Personnel involved

4.1 For all the Activities described above, internal School personnel shall be used, i.e. teaching staff pursuant to Art. 2, paragraph 11 of the SISSA Statute and technical and administrative personnel.

4.2 Where part of the Activity cannot be carried out by the aforementioned personnel, PhD students and research staff pursuant to the SISSA Statute (subject to authorisation by the Board of Professors for the former or by their scientific supervisor for the latter, in compliance with the rules set out in the relevant School regulations), as well as other non-permanent staff, may be assigned to perform activities necessary for the implementation of the Service. External collaborators may also be specifically recruited for the performance of the Services pursuant to the relevant internal regulations.

4.3 If the Service involves collaboration with personnel from another public administration, prior authorisation must be obtained from the institution of affiliation.

Art. 5 – Determination of remuneration and profit

5.1 The determination of the remuneration (excluding VAT) to be requested as payment for the execution of the Activities referred to in Art. 1.1 must be based on the direct and indirect costs expected to be incurred, to which profit shall be added. In determining the cost components of the remuneration for the Service, market prices applied for the same or similar services must be considered.

5.2 The determination of profit is obtained by subtracting from the remuneration referred to in the preceding paragraph all direct costs (by way of example and not limited to travel expenses, costs for external personnel, consumables, etc.) to be incurred for the implementation of the Activities, together with a flat-rate 10% of the remuneration itself as general expenses.

5.3 The profit thus determined shall be distributed within the following limits:

- a. 5 % to the Area Research Fund;
- b. 20 % to the Common Fund;

- c. 75 % at the disposal of the Person in Charge of the Service, who may allocate it to research funds or to the remuneration of internal personnel who actively collaborated in the Activities, with the possibility of allocating a share also to directly involved technical and administrative staff, subject, in the latter case, to consultation with the Secretary General.

5.4 The shares allocated to the Common Fund referred to in point b) of the preceding paragraph shall be distributed among technical and administrative staff who did not directly collaborate in the individual services.

Art. 6 – Research contributions

6.1 Research contributions are understood to mean sums paid to SISSA by a public or private entity, allocated to an Area as financial support for a specific research project, without imposing any other constraints on the beneficiary Area other than providing the contributing entity with a final research report and naming the contributing entity as the funder of the research in publications presenting the results of the research.

6.2 No compensation may be paid to SISSA personnel from research contributions.

Art. 7 – Results and Intellectual Property

7.1 Ownership of the results of the Activities referred to in letters a) and b) of Art. 1.1 and the related Intellectual Property shall normally vest in the client, unless otherwise agreed between SISSA and the client.

7.2. Where the implementation of the Activities is expected to lead to the creation of results with patentable characteristics, SISSA may request specific additional financial compensation in addition to the agreed remuneration or

incorporate this potential added value already at the stage of calculating the remuneration itself.

7.3 It is also possible – in accordance with the provisions already set out in the SISSA Regulation on Intellectual Property – to make results available to an external party/client, whether patented or protected by other forms of intellectual property, already acquired from a previous study or research. Such licence or transfer provided for in this paragraph shall be governed by this Regulation, compatibly and on a residual basis with the provisions of the current SISSA Regulation on Intellectual Property, equating it to the Activities referred to in Art. 1.

Art. 8 – Payment of compensation

8.1 The Person in Charge of the Service shall inform the Area Council and the Board of Directors of the distribution of profits to internal School personnel or their inclusion in the Person in Charge's research funds, in the manner and subject to the limits set out in Art. 5.3(c) above.

8.2 In the event that profits are distributed to internal School personnel without inclusion in research funds, the limit set out in Art. 5 of the current "Regulation on activities related to Community and international research programmes for the incentivisation of the personnel involved" shall apply.

8.3 Compensation shall be paid only after the School has received the remuneration.